

# Regional-scale estimates of forest CO<sub>2</sub> and isotope flux based on monthly CO<sub>2</sub> budgets of the atmospheric boundary layer

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## 1. Introduction

Several lines of evidence point to persistent net annual carbon uptake and storage in the temperate latitudes of the Northern Hemisphere (Battle *et al.*, 2000; Bousquet *et al.*, 1999; Ciais *et al.*, 1995; Prentice *et al.*, 2001; Rayner *et al.*, 1999). This large carbon sink is thought to be largely in the northern forests (Goodale *et al.*, 2002; Chapter 8, this volume), although the tropical forest may also represent a substantial sink (Townsend *et al.*, 2002; Chapter 10, this volume). The uncertainties around these sinks are large (Bousquet *et al.*, 1999) and hypotheses concerning their location and mechanism vary (Bousquet *et al.*, 1999; Fan *et al.*, 1998; Rayner *et al.*, 1999; Chapter 2, this volume). Furthermore, the sustainability of these sinks, or the sustained remediation of anthropogenically derived atmospheric CO<sub>2</sub> by forest, is also a point of disagreement (Oren *et al.*, 2001). Considering the global expanse of forests, the importance of forests in the annual global carbon cycle and the uncertainty surrounding the size, duration and location of the northern hemisphere sink, it is imperative that we gain an understanding of forest productivity on large spatial and temporal scales (see Chapter 1, this volume).

Studies of forest productivity have typically relied on stand-scale eddy covariance (EC) measurements or forest inventories (Baldocchi *et al.*, 2001; Goodale *et al.*, 2002). Together, these methodologies are invaluable in assessing changes in forest productivity in response to climate variability, longer-term climate change, and anthropogenic disturbance. EC measurements are ideal for observations of year-to-year variation in productivity. However, this method offers a relatively limited picture of forest productivity because the surface area of integration, or footprint, is typically less than 1 km<sup>2</sup>. Additionally, heterogeneity at larger spatial scales often precludes the extrapolation of smaller scale measurements to larger scales. Forest inventories provide robust estimates of long-term productivity, but the time-scale of robust forest inventories is typically decadal, not annual (Goodale *et al.*, 2002; Chapter 3, this volume). In this chapter we outline the framework for the measurement of net CO<sub>2</sub> flux over large spatial (10<sup>4</sup>–10<sup>6</sup> km<sup>2</sup>) and temporal (months to years) scales through the ABL. This framework rests on the ABL-equilibrium assumption first developed through model approaches by Betts and Ridgway (1989) for the oceans, and extended to land by Betts (2000), Betts *et al.*, (2004) and for observation-based approaches by Helliker *et al.* (2004; see also Chapter 6, this volume). In this synthesis, we describe the application of the ABL equilibrium concepts to net CO<sub>2</sub> flux over land, and we extend these concepts to the stable isotopes of CO<sub>2</sub> measured in the ABL and the overlying free troposphere. The study area of interest is a temperate mixed-forest region in north-central Wisconsin, USA.

## 2. Atmospheric boundary layer description, scalar dynamics, and the equilibrium assumption

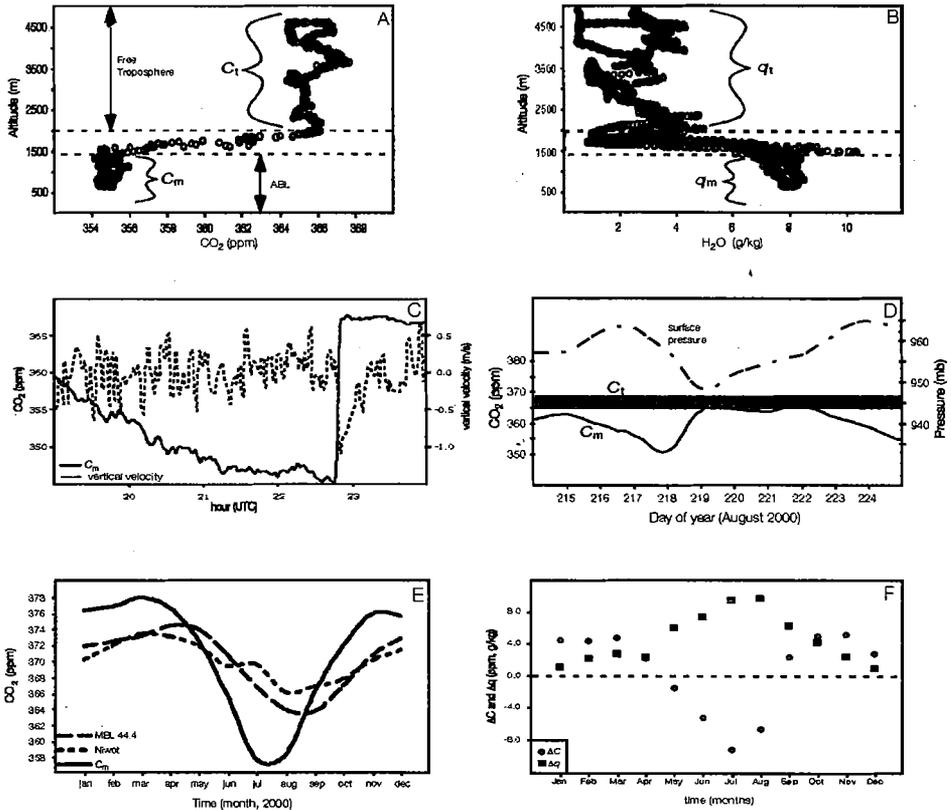
The ABL extends upwards from the Earth's surface about 1–2 km and is separated from the stable overlying free troposphere (which extends upwards an additional 8–9 km) by an inversion. The ABL is most distinct from the free troposphere when high-pressure systems persist and large-scale subsidence pushes free-tropospheric air down onto the ABL, and ABL air diverges horizontally. During these periods, the ABL is defined as the air mass below the capping inversion and, depending on the time of day, may include the daytime CBL, the nocturnal boundary layer and the residual boundary layer (Stull, 1988). During the day, the dissipation of solar radiation by the surface results in convective turbulence that mixes scalars (CO<sub>2</sub>, H<sub>2</sub>O, heat, momentum, etc.) from the surface to the top of the ABL. As convection slows towards the day's end, a stable nocturnal boundary layer begins to build at the earth's surface. Overlying the nocturnal boundary layer is the residual boundary layer – a remnant of the previous day's CBL – which is separated from the nocturnal boundary layer below and the free troposphere above by discontinuities in density. As convection resumes after sunrise, the residual boundary layer and nocturnal boundary layer rapidly mix to a homogeneous CBL. Viewed in this way, the ABL defines an air mass that may accumulate scalars over several days.

The mixing ratio of scalars in the ABL is influenced by the interaction of several processes, the relative balance of which may change dramatically over the synoptic cycle or with the initiation of deep convection (Freedman *et al.*, 2001). Under high-

pressure systems, surface evaporation of water moistens the ABL. This is opposed by subsidence of dry air from the free troposphere, divergent flow of the ABL in the horizontal and by the formation of boundary layer clouds (Fitzjarrald, 2002).  $\text{CO}_2$  and other scalars are similarly influenced by their surface fluxes and the mass flux of air from the free troposphere through the ABL (Betts *et al.*, 2004). During low pressure, or upon initiation of strong convection, there may be convergent flow in the horizontal, coupled with deep, precipitating convection (Stull, 1988). Cotton *et al.*, (1995) calculate that the ABL volume is, on average, turned over by deep convection every four days. Down-drafts, associated with evaporation of precipitation into free-tropospheric air, during storms and frontal passages can replace the ABL air mass with cool, moist air and reset the concentration of other scalars to that of the free troposphere (Hurwitz *et al.*, 2004). *Figure 1* illustrates these processes on a variety of temporal scales. Individual, clear weather days show strong gradients in  $[\text{CO}_2]$  ( $C$ , parts per million, p.p.m.) and  $[\text{H}_2\text{O}]$  ( $q$ ) between the ABL ( $C_m, q_m$ ) and the free troposphere ( $C_v, q_v$ ) and also clearly demarcate the inversion at the ABL top (*Figure 1a, b*). The data in *Figure 1a, b* were collected directly by airplane during one afternoon under fair-weather or high-pressure conditions. As storm fronts pass, ABL air can rapidly be replaced by free-tropospheric air. In *Figure 1c*,  $C_m$  changed more than 20 p.p.m. in less than a minute owing to strong vertical mixing associated with storm downdrafts. *Figure 1d* shows two frontal sequences where  $[\text{CO}_2]$  slowly declines in the ABL during high pressure conditions and then approaches free troposphere  $[\text{CO}_2]$  when a low pressure system passes through the area. On longer time scales, high pressure systems are temporally dominant such that when the averaging period of  $[\text{CO}_2]$  in the ABL and the free troposphere is increased, we see differences that are suggestive of the seasonally predominant surface flux; photosynthesis in summer and respiration in fall, winter, and spring (*Figure 1e, f*).

The ABL represents a large volume that typically moves across the land surface about  $500 \text{ km d}^{-1}$  (Raupach *et al.*, 1992). Therefore, studies of the ABL  $\text{CO}_2$  balance have the potential to provide information on carbon balance of the land surface on a regional-scale. Estimates of the surface area of integration by the ABL range from  $10^4$  to  $10^6 \text{ km}^2$  (Gloor *et al.*, 2001; Raupach *et al.*, 1992). Several studies have used  $\text{CO}_2$  budgets in the ABL to estimate regional, net surface flux of  $\text{CO}_2$  during the daytime when the full, mixed ABL is coupled to the surface and the overlying free-troposphere (Denmead *et al.*, 1996; Kuck *et al.*, 2000; Lévy *et al.*, 1999; Lloyd *et al.*, 2001; Styles *et al.*, 2002). These studies have demonstrated that budget methods for the period of daytime boundary layer growth can be used to obtain estimates of daytime surface fluxes. However, the difficulty in quantifying fair-weather cloud flux, entrainment, subsidence, and horizontal divergence over a day results in widely variable agreement between ABL budget methods and surface-based methods (Fitzjarrald, 2002). Additionally, the ABL is decoupled from the surface at night, which complicates boundary layer budget closure over complete diurnal cycles. Taken together, these difficulties make the standard boundary layer budget approach impractical for long-term  $\text{CO}_2$  balance estimates.

To obtain longer-term estimates of net  $\text{CO}_2$  flux at the integrative ABL scale, we make a sharp break from previous  $\text{CO}_2$  budget attempts. The ABL is an integral component in large-scale circulation as it connects the ascending and descending branches of the atmospheric circulation. Here we assume that the properties of the ABL



**Figure 1.** Representative ABL profiles of (a)  $[CO_2]$  and (b)  $[H_2O]$  during mid-afternoon. Data were obtained directly by airplane in August 2000 by the COBRA campaign. (c) Five hour period of  $[CO_2]$  (solid line) and vertical velocity (dashed line) measured at 396 m from the WLEF tower. (d)  $[CO_2]$  (solid line) and surface pressure (dash-dot line) for several days of August 2000 at the WLEF tower site.  $C_m$  values are 24 h averages of  $[CO_2]$  at 396 m. The dashed line and shaded area represent the mean free-tropospheric  $CO_2$  mixing ratio and standard deviation for all of August (measured directly by COBRA). (e) Monthly averages of  $[CO_2]$  in the marine boundary layer (MBL) at 44.4° N, from 3475 m from atop Nirwot Ridge, CO, 40.1° N, and from 396 m from the WLEF tower, 45.9° N ( $C_m$ ). (f) Monthly averages of  $\Delta C$  ( $C_1 - C_m$ ; open circles) and  $\Delta q$  ( $q_1 - q_m$ ; closed squares) for the year 2000.  $C_m$  and  $q_m$  were obtained through continuous measurements at 396 m from the tower.  $C_1$  was obtained by monthly averages of  $[CO_2]$  collected at Nirwot Ridge, CO. RUC weather-forecasting data from the geopotential heights of 3200–7600 m were used to obtain  $q_1$ . Data for figure (c) are from Hurwitz et al. (2004). Data from (d) and (e) are from Helliker et al. (2004).

approach a steady-state or equilibrium between the surface fluxes, cloud effects on radiation and subsidence of the overlying free troposphere over temporal scales longer than a day; an equilibrium which is controlled by larger scale processes that influence air mass ascension (low-pressure systems) and subsidence (high-pressure

systems). The equilibrium assumption is a fundamental shift from the focus on the dynamics of the diurnal cycle of the ABL to the slow evolution of the ABL, averaged over the diurnal cycle, and for much of the time nearly in balance with larger-scale subsiding circulations. The non-linear processes of daytime ABL growth and the decoupling of the stable boundary layer at night are superimposed on this slowly evolving mean state.

The concept of an 'equilibrium boundary layer' was first put forth by Betts and Ridgeway (1989) for the marine boundary layer over the open ocean and later applied to land by Betts (2000, 2004) and Betts *et al.* (2004). Betts (2000) observed that the equilibrium boundary layer approach adequately explained the observed water vapor, potential temperature, and boundary layer height of composites representing several synoptic cycles. Betts *et al.* (2004) extended the idealized model of Betts (2000) to show how the mixed layer equilibrium of water vapor, CO<sub>2</sub>, and radon was coupled to surface fluxes through mass exchange with the free troposphere during periods of large-scale subsidence. Betts (2004) showed that the 24 hour mean climatic state and fluxes describe the coupling over land using 30 years of the fully time-dependent, European Center for Medium-Range Weather Forecasting (ECMWF) reanalysis model data. Helliker *et al.*, (2004) extended the equilibrium approach to observations of CO<sub>2</sub> and H<sub>2</sub>O flux and mixing ratio data. By using observed, long-term averages of H<sub>2</sub>O flux and mixing ratio data, they solved for an observation-based estimate of mass exchange of the ABL with the free troposphere. This estimate of mass exchange was then used to estimate the average net surface flux of CO<sub>2</sub> on monthly to annual periods. Further support of the equilibrium assumption was obtained by Bakwin *et al.* (2004) who showed that monthly surface fluxes could be recovered by applying model estimates of vertical exchange to observations of long-term, average differences in CO<sub>2</sub> between the ABL and the free troposphere.

### 3. Equilibrium atmospheric boundary layer CO<sub>2</sub> flux method

In this section, we outline the underlying equations and discuss some results for equilibrium CO<sub>2</sub> flux in the ABL. The study site was located in sparsely populated, north-central Wisconsin, USA, and the study platform was the 447 m tall WLEF (WLEF are the national public broadcast station call letters) television broadcast tower from which continuous [CO<sub>2</sub>], [H<sub>2</sub>O], and EC measurements have been made since 1995 (Bakwin *et al.*, 1998; Davis *et al.*, 2003). The tower is located within the Chequamegon-Nicolet National Forest and is a National Ocean and Atmospheric Administration-Climate Monitoring and Diagnostics Lab. (NOAA-CMDL) CO<sub>2</sub> sampling site (Bakwin *et al.*, 1998). The area is largely forested for hundreds of kilometers to the east and west, Lake Superior is approximately 70 km to the north and agriculture begins to dominate about 200 km to the south. The dominant forest types are mixed northern hardwood, aspen, and wetlands.

The tower measurement height of 396 m is well within the convective boundary layer during the day and typically within the residual layer and above the stable nocturnal boundary layer at night. Over a composite diurnal cycle, the CO<sub>2</sub> mixing ratio measured at 396 m typically varies little as compared to measurements at 30 m (Yi *et al.*, 2001). Thus, continuous measurements from this height reflect changes in the daytime convective boundary layer and the night-time residual layer through time

$\partial C_m / \partial t$ . Cotton *et al.* (1995) showed that on a global average ABL air is replaced by free-tropospheric air every four days, so on these longer timescales, we assume that horizontal advection becomes less important than vertical advection and mixing across the substantial jump in [CO<sub>2</sub>] associated with the capping inversion of the ABL. We therefore use a simplified budget equation for the ABL with depth  $z$  and mean [CO<sub>2</sub>]  $C_m$  in which we neglect horizontal advection. As the ABL deepens in a subsiding mean flow ( $\overline{w}_+$ ) it entrains air from the free troposphere above with properties  $C_t$ , and we can write the budget equation for the ABL as (Betts, 1992; Helliker *et al.*, 2004):

$$\frac{\partial}{\partial t} (\rho z C_m) = F_{\text{NEE}} + \rho \left( \frac{\partial z}{\partial t} \right) C_t - \rho w_+ (C_t - C_m) \quad (1)$$

where  $F_{\text{NEE}}$  is the net surface CO<sub>2</sub> flux (including photosynthetic uptake, respiratory and fossil-fuel release) in flux density units ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ). Rearranging and ignoring the time variation of the molar mean air density,  $\rho$ , gives

$$\rho z \frac{\partial C_m}{\partial t} = F_{\text{NEE}} - \rho w (C_t - C_m) \quad (2)$$

where  $w = \left( \frac{\partial z}{\partial t} \right) - \overline{w}_+$  is the entrainment rate of the ABL, or the rate at which the ABL mixes in air from the free troposphere (i.e.,  $\overline{w}_+$ ) is typically negative corresponding to mean subsidence. In strict equilibrium,  $\frac{\partial C_m}{\partial t} = \frac{\partial h}{\partial t} = 0$  and we get

$$F_{\text{NEE}} = \rho w (C_t - C_m) = \rho \overline{w}_+ (C_t - C_m). \quad (3)$$

A similar equation to (2) can be written for water vapour, and following the same assumptions and derivation we arrive at

$$\rho z \frac{\partial q_m}{\partial t} = F_q - \rho w (q_t - q_m) \quad (4)$$

and

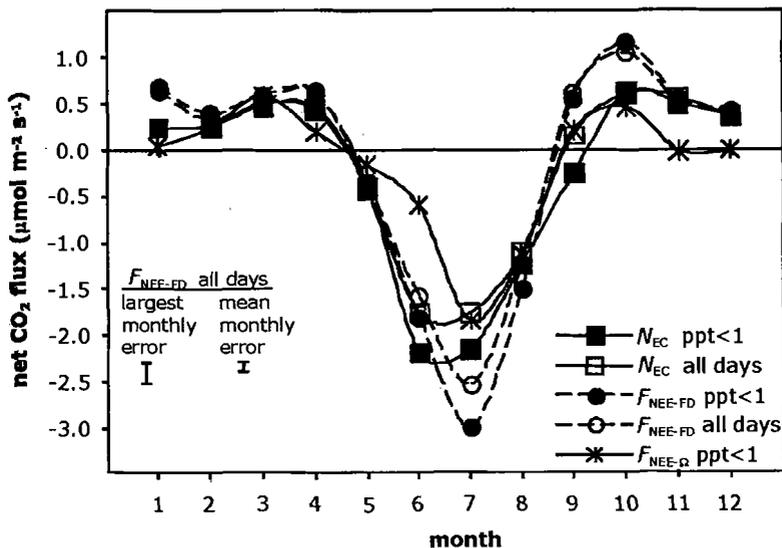
$$F_q = \rho w (C_t - C_m). \quad (5)$$

Note that over longer averaging periods, the time rate of change terms  $\left( \frac{\partial C_m}{\partial t}, \frac{\partial q_m}{\partial t} \right)$  become small compared with the flux terms (Helliker *et al.*, 2004). Following Raupach *et al.* (1992), we assume similarity of transport for  $C$  and  $q$ . Using long-term averages of observed  $F_q$  and  $(q_t - q_m)$  and rearranging equation (5) to solve for  $\rho w$ , we can obtain the observation-based estimate of vertical exchange over the given averaging period.  $\rho w$  thus obtained can then be used along with observed difference in ABL-to-free-troposphere [CO<sub>2</sub>] (for example, from *Figure 1f*) to solve for  $F_{\text{NEE}}$  through equation (3).

Precipitation and subsequent evaporation processes violate the assumption of similar transport for CO<sub>2</sub> and water vapour, so we filtered data to exclude days when precipitation was more than 1 mm (precipitation (ppt) < 1). However, subsidence-dominant (fair-weather) days are temporally dominant, so we also present data that

represent complete monthly averages with no rainy days removed (all days). Monthly estimates of NEE using periods when precipitation days are omitted and periods using all data (thus including periods when low pressure systems pass through the area) are presented in *Figure 2*.  $F_{NEE}$  was determined by equation (3) using two independent estimates of  $\rho w$ : our observation-based, flux-difference estimate ( $F_{NEE-FD}$ ) and the estimate obtained from the NCEP/NCAR reanalysis model data ( $F_{NEE-Q}$ ). EC estimates of NEE ( $N_{EC}$ ) measured from the WLEF tower at 122 m are also presented in *Figure 2*. There was remarkable agreement between the independent estimates of NEE. All estimates of NEE showed similar sink-to-source phase shifts and there was good agreement between the estimates on a monthly basis. The overall agreement between the various methods for calculating  $F_{NEE}$  and  $N_{EC}$  shows that, on longer time scales, the vertical flux of  $CO_2$  from the free troposphere is in near balance with the net  $CO_2$  flux at the surface and the assumptions of the equilibrium ABL appear to be valid.

For all methods of calculating  $F_{NEE}$ ,  $F_{NEE-FD}$  (all days) had the largest propagated errors (bars shown in *Figure 2*). The errors were relatively small, but they should be considered incomplete as we had to make several assumptions in calculating  $F_{NEE}$  and the error associated with these assumptions is not currently quantifiable. First, proxies rather than direct measurements had to be used for  $C_t$  and  $q_t$ . For  $C_t$  we assumed that on a monthly basis,  $[CO_2]$  measured in the marine boundary layer, at a similar latitude as the WLEF tower, was representative of mean  $[CO_2]$  in the free



**Figure 2.** Net ecosystem exchange for  $CO_2$  (NEE) as determined by equation (3) using our flux-difference method with water vapour ( $F_{NEE-FD}$ ), using equation (3) and NCEP/NCAR reanalysis data for  $\rho w$  ( $F_{NEE-Q}$ ) and from EC estimates ( $N_{EC}$ ). For  $F_{NEE-FD}$  and  $N_{EC}$  estimates are given for all days in a month and  $F_{NEE-FD}$ ,  $N_{EC}$  and  $F_{NEE-Q}$  estimates are presented with the precipitation filter to remove days with more than 1 mm of precipitation ( $ppt < 1$ ).  $F_{NEE-FD}$  was calculated using  $C_t$  from the MBL (*Figure 1e*).  $q_t$  was obtained from RUC data.  $F_q$ ,  $C_m$  and  $q_m$  were obtained by averaging the 24 h observations from the WLEF tower for a given month.

troposphere above Wisconsin (Helliker *et al.*, 2004). For  $q_w$ , we had to use monthly averages of model outputs for water vapour from the heights of 2500–3200 m above ground level (rapid update cycle short-range weather forecasting model, <http://maps.fsl.noaa.gov/>). Second, we assumed that  $F_q$  measured by EC at 122 m was fairly representative of the region for calculating  $\rho w$  through equation (5). For future applications, it would be ideal to have regular flights to build monthly estimates of  $C_t$  and  $q_t$  from direct measurements. These measurements are currently planned under the implementation of the North American Carbon Plan (Wofsy and Harris, 2002). We obviously need larger estimates of surface water vapour flux than EC measurements provide, and there are more robust land-coverage-based methods for estimating the largely unidirectional flux of water vapour for future tests of the flux-difference method (Anderson *et al.*, 1997, 2000; Mackay *et al.*, 2002). Finally, we do not explicitly include fossil fuel emissions in our regional analysis, but the surface footprint that affects  $C_m$  could include a larger fossil fuel flux component than the eddy correlation footprint. It is possible that the differences between  $F_{\text{NEE-FD}}$  and  $N_{\text{EC}}$  reflect different fossil fuel emissions within the different footprints. Further study using the direct measurements mentioned above, together with measurements of stable isotopes, are required to quantify these flux differences.

#### 4. Isotopes in the equilibrium atmospheric boundary layer

The analysis of naturally occurring stable isotopes in plant material provides invaluable insight into integrated plant processes (Farquhar *et al.*, 1989). As a corollary, the effect that plants have on the stable isotopes of CO<sub>2</sub> of atmospheric air offers an integration of surface flux processes (Ehleringer *et al.*, 2002; Lloyd *et al.*, 1996; Yakir and Sternberg 2000). Plant and ecosystem responses to short- and long-term climatic changes can be assessed by the analysis of carbon and oxygen isotopes. At ecosystem and larger scales the distinct isotopic signature associated with photosynthesis and respiration allows for the partitioning of NEE into its primary components (Bowling *et al.*, 2001; Bowling *et al.*, 2003; Lloyd *et al.*, 1996; Ogée *et al.*, 2004; Yakir and Wang, 1996). In this section, we use the equilibrium or steady-state assumptions from above to (i) develop the theory behind net isotope effects in an equilibrium boundary layer, (ii) examine some estimates of net carbon isotope composition ( $\delta^{13}\text{C}_{\text{net}}$ ) for an entire year and, (iii) examine a flux partitioning exercise with measurements of oxygen isotope ratios ( $\delta^{18}\text{O}$ ).

As others have defined, the NEE of CO<sub>2</sub> can be represented by

$$F_{\text{NEE}} = F_p + F_r \quad (6)$$

where  $F_p$  and  $F_r$  are the ABL-scale gross fluxes of CO<sub>2</sub> uptake (photosynthesis) and release (respiration and fossil fuel at the ABL scale), respectively. From equations (3) and (6), we can write the following equality:

$$F_{\text{NEE}} = \rho w (C_t - C_m) = F_p + F_r \quad (7)$$

For any stable isotope pair, the major isotope (such as <sup>12</sup>C or <sup>16</sup>O) is in such excess that abundance is directly proportional to concentration as measured from an infra-red

gas analyser system. When the stable isotope composition is measured simultaneously, and if we know the magnitude of the fractionations that occur against the heavy isotope ( $^{13}\text{C}$  or  $^{18}\text{O}$ ), then we can calculate the relative contribution by isotopic mass balance – for absolute concentrations or fluxes of  $\text{CO}_2$  from photosynthesis or respiration. Thus, the measured net isotope composition will reflect the sum of constituent components, and for any heavy isotope we can write,

$$F_{\text{NEE}}\delta_{\text{net}} = \rho w (C_t\delta_t - C_m\delta_m) \quad (8)$$

and

$$F_{\text{NEE}}\delta_{\text{net}} = F_p\delta_p + F_r\delta_r \quad (9)$$

where  $\delta$  values are the isotope ratio of an associated  $\text{CO}_2$  flux or mixing ratio expressed relative to a standard by,

$$\delta = \left( \frac{R_{\text{sample}}}{R_{\text{standard}}} - 1 \right) 1000 \quad (10)$$

where  $R$  is the molar ratio of heavy to light isotopes ( $^{13}\text{C} : ^{12}\text{C}$ ,  $^{18}\text{O} : ^{16}\text{O}$ ). For all isotope ratios given here, the standard is Pee Dee belemnite (PDB). The term  $F_{\text{NEE}}\delta_{\text{net}}$  in equations (8) and (9) is often termed the ‘isoflux’ (Bowling *et al.*, 2001).

By solving equation (8) for  $\delta_{\text{net}}$  and recognizing from equation (3) that  $F_{\text{NEE}}/\rho w = (C_t - C_m)$  we obtain

$$\delta_{\text{net}} = \frac{(C_t\delta_t - C_m\delta_m)}{(C_t - C_m)} \quad (11)$$

which explains  $\delta_{\text{net}}$  based solely on the equilibrium differences in  $\text{CO}_2$  and isotopes between the ABL and the overlying free troposphere. Equation (11) is the ‘delta-notation’ form of the equation derived by Farquhar in Evans *et al.* (1986) for measurement of on-line isotope discrimination. Note that equation (11) allows for the estimation of  $\delta_{\text{net}}$  independently of any knowledge of surface flux.

By solving (9) for  $\delta_{\text{net}}$  and inserting (6) we obtain

$$\delta_{\text{net}} = \frac{F_p\delta_p + F_r\delta_r}{F_p + F_r} \quad (12)$$

which explains  $\delta_{\text{net}}$  based on the individual surface flux components.  $\delta_{\text{net}}$  can further be expressed as a function of the ratio of photosynthetic uptake to  $\text{CO}_2$  release,  $\gamma$  ( $\gamma = F_p/F_r$ ) and inserted into equation (12):

$$\delta_{\text{net}} = \frac{\delta_r}{1 + \gamma} + \frac{\delta_p\gamma}{1 + \gamma} \quad (13)$$

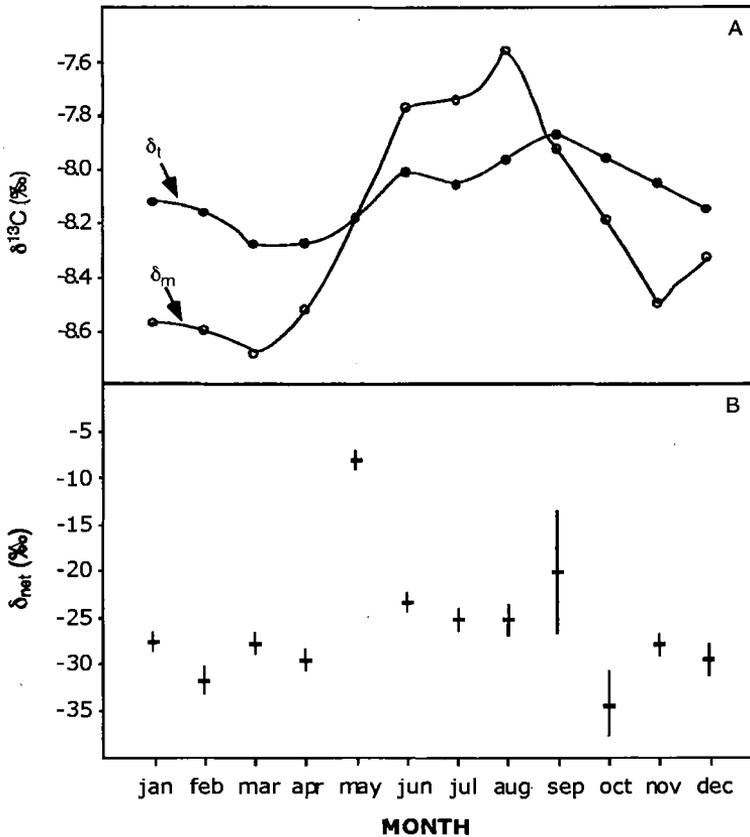
$\delta_{\text{net}}$  can be obtained through equation (11) with average measurements of the mixing and isotope ratios of ABL and free-tropospheric  $\text{CO}_2$ .  $\delta_r$  can be estimated by Keeling plot analysis (Flanagan *et al.*, 1996; Keeling, 1958) and, by knowing the environmental inputs,  $\delta_p$  can be modelled (Farquhar *et al.*, 1993; Flanagan *et al.*, 1994; Gillon and Yakir, 2000). Hence, by knowing all the isotopic inputs at the ABL-scale and

re-arranging equations (12) or (13), we can partition  $F_{\text{NEE}}$  into the component parts of photosynthesis and respiration in a similar manner to the approaches used at the ecosystem scale (Bowling *et al.*, 2001, 2003; Ogée *et al.*, 2004; Yakir and Wang, 1996).

Figure 3a shows monthly averages of the  $\delta^{13}\text{C}$  of CO<sub>2</sub> measured at the WLEF tower and from Niwot Ridge ( $\delta^{13}\text{C}_t$ ), a mountain top in Colorado, USA. Both sites are part of the NOAA-CMDL flask collection program (Globalview-CO<sub>2</sub>, 2003). The  $\delta^{13}\text{C}$  of ABL CO<sub>2</sub> ( $\delta^{13}\text{C}_m$ ) was heavier than the free-tropospheric proxy ( $\delta^{13}\text{C}_t$ ) in the summer (i.e., enriched in <sup>13</sup>C) and lighter in the winter (i.e., depleted in <sup>13</sup>C). Similar to the CO<sub>2</sub> mixing ratio measurements, this pattern is consistent with the predominance of photosynthetic discrimination against <sup>13</sup>C in the summer and the respiratory release of CO<sub>2</sub>, depleted in <sup>13</sup>C, in the winter. Estimations of  $\delta_{\text{net}}$  are shown in Figure 3b. For the summer months  $\delta_{\text{net}}$  ranged from -23.3 to -25.2 and was very consistent for July and August. For May, September, and October, the values of  $\delta_{\text{net}}$  varied widely as the differences in CO<sub>2</sub> between the ABL and free troposphere were small. These seemingly odd values of  $\delta_{\text{net}}$  are expected as  $\gamma \rightarrow 1$  during the seasonal transition from CO<sub>2</sub> sink to source (Miller and Tans, 2002). During the winter,  $\delta_{\text{net}}$  was very negative, possibly reflecting fossil-fuel inputs that would become proportionally larger during the winter months and have isotope ratios that are typically lighter than that of biospheric exchange.

Knowledge of the net flux of CO<sub>2</sub> and  $\delta_{\text{net}}$  for each month can be used to calculate the corresponding flux-weighted isotopic signature associated with the yearly net uptake of CO<sub>2</sub> by this region. This value, which was -9.5‰, may be somewhat surprising because northern Wisconsin is a C<sub>3</sub>-dominated region, and carbon fixed by C<sub>3</sub> plants typically has a  $\delta^{13}\text{C}$  closer to -25‰. However, this apparent discrepancy can be explained if there is an offset between respiration and photosynthesis (i.e., the isotopic composition of carbon fixed is not equal to that respired and  $\gamma \approx 1$  for the year (Miller and Tans, 2002)). To illustrate, we offer a sample calculation of the isotopic composition of the gross CO<sub>2</sub> release ( $\delta_r$ ) that would be required to satisfy the mass and isotope balance of equation (13). It was assumed that  $\gamma = -1.03$  and that the isotopic composition of carbon taken up by gross photosynthesis,  $\delta_p = -25$ ‰. The calculation indicates that a value of  $\delta_r = -25.5$ ‰ would satisfy the seasonal net storage of -9.5‰. This might occur, for example, if the gross CO<sub>2</sub> released was 90% from biological decomposition with  $\delta_r = -25.0$ ‰ and 10% from fossil fuel combustion with  $\delta_{\text{ff}} = -29.6$ ‰. Additionally, the disequilibrium caused by fossil fuel inputs might explain the distinct seasonal pattern in the observed  $\delta_{\text{net}}$  (Figure 3b), where the values were considerably more negative in the winter months than in the summer months.

Recent analysis has shown that oxygen isotopes are preferred over carbon isotopes to partition ecosystem fluxes because the differences in isotope ratio between respiration and photosynthesis are considerably larger and result in smaller uncertainties around the partitioned fluxes (Ogée *et al.*, 2004). For a second partitioning exercise we use oxygen isotopes and capitalize on a two-day period in August 2000 when we had direct measurements of ABL and free-tropospheric [CO<sub>2</sub>] through the CO<sub>2</sub> Budget and Regional Airborne study (COBRA) campaign (Gerbig *et al.*, 2003). During this period we were able to measure  $\delta_{\text{net}}$ ,  $\delta_r$ , and model  $\delta_p$  to solve for  $\gamma$  (Table 1). We then used  $\gamma$  and estimates of NEE to partition fluxes into photosynthesis and respiration. For this period, we assumed that the fossil-fuel flux was small compared with the size of photosynthetic and respiratory fluxes. Our results for the



**Figure 3.** (a) Monthly averages of the  $\delta^{13}\text{C}$  of  $\text{CO}_2$  measured at the WLEF tower ( $\delta^{13}\text{C}_m$ ) and from Niwot Ridge ( $\delta^{13}\text{C}_n$ ). (b)  $\delta_{\text{net}}$  calculated through equation (11) using isotope ratios in (a) and  $\text{CO}_2$  mixing ratios from Figure 1e. The vertical lines represent the propagated error for the calculation of  $\delta_{\text{net}}$ .

partitioned fluxes agreed with independent estimates of flux partitioning through EC-based techniques (Table 1). The errors around our estimates are fairly large, but they are comparable to other, accepted methods of flux partitioning. For both of the partitioning exercises detailed here, the input parameters need to be better constrained by measurements before the results of such calculations can be taken seriously. However, this illustrates the additional power that an isotope budget can provide in studies of net carbon exchange.

## 5. Conclusions

By approaching monthly average  $\text{CO}_2$  and water vapour mixing ratios in the ABL as an equilibrium problem, we estimated net  $\text{CO}_2$  surface exchange over a forested region for an entire year. These ABL-scale net  $\text{CO}_2$  estimates were comparable to measurements made by EC over this same time period. These experiments lend

**Table 1.** ABL-scale flux partitioning exercise using stable oxygen isotopes.

$\delta_{\text{net}}$ (‰)	$\delta_r$ (‰)	$\delta_p$ (‰)	$\gamma$	ABL-scale estimates			Eddy covariance estimates	
				NEE ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	$F_p$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	$F_r$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	$F_p$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	$F_r$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )
-1.9	-13.5	-11.4	-1.2	-1.2	-6.7	5.5	-7.1	5.9
$\pm 0.9$	$\pm 1.4$	$\pm 1.3$	$\pm 0.2$		$\pm 1.3$	$\pm 1.3$	$\pm 1.1$	$\pm 1.1$

observational support to the underlying equilibrium hypothesis that over long averaging periods, and during periods of subsidence, the surface flux of CO<sub>2</sub> and water vapour into the ABL are at a near-balance with the mixing-down of air from the free troposphere.

The individual manner in which gross carbon fluxes of photosynthesis, respiration, and fossil fuel use respond to short- and long-term climatic change has large effects on the global carbon cycle. Stable isotopes have been shown to be invaluable tracers of these gross carbon flux processes at leaf, ecosystem, and global scales. However, the uncertainty of these gross processes on the global scale is large because of the lack of knowledge in how leaf-level and ecosystem-level processes play out on regional scales, and how the regional modification of the isotopes in atmospheric CO<sub>2</sub> affect the observations at the global scale. By applying the ABL equilibrium concepts to the measurement of stable isotopes in the ABL and free troposphere, we were able to estimate the regional isotope effects and obtain reasonable estimates of the gross fluxes of CO<sub>2</sub>, thus closing a major gap in scales in our current observations.

The ABL flux-difference method presented here can be improved with more systematic mixing ratio and isotope ratio data collection, and more rigorous uncertainty analysis. With these improvements in the method and with more sampling sites, it is not unreasonable to propose that this method could be extended to obtain not only regional, but continental-scale measurements of CO<sub>2</sub> and isotope flux. These improvements have the potential to give us an independent estimate of carbon sources and sinks on an annual basis and help resolve some of the outstanding questions concerning the carbon cycle in forested regions.

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